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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. CFP-2346 (15722/631)

First Inventor Yu-Jen Lin

Title STOOL APPARATUS FOR CHAIR

Express Mail Label No. EV 326522033 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 10]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 10]
5. Oath or Declaration [Total Pages 1]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement [ ] Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 [ ] Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: \_\_\_\_ / \_\_\_\_

Prior application information: Examiner \_\_\_\_

Group / Art Unit: \_\_\_\_

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name

Alan D. Kamrath

Rider Bennett, LLP

Address

333 South Seventh Street, Suite 2000

City

Minneapolis

State

Minnesota

Zip Code

55402

Country

USA

Telephone

(612) 340-8925

Fax

(612) 340-7900

Name (Print/Type)

Alan D. Kamrath

Registration No. (Attorney/Agent)

28,227

Signature

Date

March 1, 2004

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$) 425

## Complete if Known

Application Number

Filing Date March 1, 2004

First Named Inventor Yu-Jen Lin

Examiner Name

Group / Art Unit

Attorney Docket No. CFP-2346 (15722/631)

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

50-1188

Deposit  
Account  
Name

Rider, Bennett, Egan & Arundel, LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|----------|
| 101                  | 770                   | 201                  | 385                   | Utility filing fee     | 385      |
| 106                  | 340                   | 206                  | 170                   | Design filing fee      |          |
| 107                  | 530                   | 207                  | 265                   | Plant filing fee       |          |
| 108                  | 770                   | 208                  | 385                   | Reissue filing fee     |          |
| 114                  | 160                   | 214                  | 80                    | Provisional filing fee |          |

**SUBTOTAL (1)**

(\$ 385)

### 2. EXTRA CLAIM FEES

| Total Claims          | Extra<br>Claims | Fee from<br>below | Fee<br>Paid |
|-----------------------|-----------------|-------------------|-------------|
| 20                    | -20 ** = 0      | X 0 = 0           | 0           |
| Independent<br>Claims | 1               | -3 ** = 0         | X 0 = 0     |
| Multiple<br>Dependent |                 | X                 | 0           |

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 103                  | 18                    | 203                  | 9                     | Claims in excess of 20                                     |
| 102                  | 86                    | 202                  | 43                    | Independent claims in excess of 3                          |
| 104                  | 290                   | 204                  | 145                   | Multiple dependent claim, if not paid                      |
| 109                  | 86                    | 209                  | 43                    | ** Reissue independent claims over original patent         |
| 110                  | 18                    | 210                  | 9                     | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)**

(\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

| Fee<br>Code | Large<br>Entity<br>Fee<br>(\$) | Small<br>Entity<br>Fee<br>Code | Small<br>Entity<br>Fee<br>(\$) | Fee Description  | Fee Paid |
|-------------|--------------------------------|--------------------------------|--------------------------------|--|----------|
| 105         | 130                            | 205                            | 65                             | Surcharge - late filing fee or oath  |          |
| 127         | 50                             | 227                            | 25                             | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139         | 130                            | 139                            | 130                            | Non-English specification  |          |
| 147         | 2,520                          | 147                            | 2,520                          | For filing a request for reexamination                                     |          |
| 112         | 920*                           | 112                            | 920*                           | Requesting publication of SIR prior to Examiner action                     |          |
| 113         | 1,840*                         | 113                            | 1,840*                         | Requesting publication of SIR after Examiner action                        |          |
| 115         | 110                            | 215                            | 55                             | Extension for reply within first month                                     |          |
| 116         | 420                            | 216                            | 210                            | Extension for reply within second month                                    |          |
| 117         | 950                            | 217                            | 475                            | Extension for reply within third month                                     |          |
| 118         | 1,480                          | 218                            | 740                            | Extension for reply within fourth month                                    |          |
| 128         | 2,010                          | 228                            | 1,005                          | Extension for reply within fifth month                                     |          |
| 119         | 330                            | 219                            | 165                            | Notice of Appeal   |          |
| 120         | 330                            | 220                            | 165                            | Filing a brief in support of an appeal                                     |          |
| 121         | 290                            | 221                            | 145                            | Request for oral hearing   |          |
| 138         | 1,510                          | 138                            | 1,510                          | Petition to institute a public use proceeding                              |          |
| 140         | 110                            | 240                            | 55                             | Petition to revive - unavoidable   |          |
| 141         | 1,330                          | 241                            | 665                            | Petition to revive - unintentional   |          |
| 142         | 1,330                          | 242                            | 665                            | Utility issue fee (or reissue)   |          |
| 143         | 480                            | 243                            | 240                            | Design issue fee   |          |
| 144         | 640                            | 244                            | 320                            | Plant issue fee  |          |
| 122         | 130                            | 122                            | 130                            | Petitions to the Commissioner  |          |
| 123         | 50                             | 123                            | 50                             | Processing fee under 37 CFR 1.17 (q)                                       |          |
| 126         | 180                            | 126                            | 180                            | Submission of Information Disclosure Stmt                                  |          |
| 581         | 40                             | 581                            | 40                             | Recording each patent assignment per property (times number of properties) | 40       |
| 146         | 770                            | 246                            | 385                            | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149         | 770                            | 249                            | 385                            | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179         | 770                            | 279                            | 385                            | Request for Continued Examination (RCE)                                    |          |
| 169         | 900                            | 169                            | 900                            | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$ 40)

## SUBMITTED BY

Name (Print/Type)

Alan D. Kamrath

Registration No. Attorney/Agent

28,227

Telephone

612/340-8925

Signature

Date

March 1, 2004

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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